

Docket No.: 3660P011



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

EITHAN Y. EPHRATI

Application No.: 09/426,410

Filed: October 25, 1999

For: **ELECTRONIC MULTILATERAL**

NEGOTIATION SYSTEM

Art Group: 3627

Examiner: Jennifer I. Harle

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GROUP 3600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 13, 200)

Andre M. Gibbs, Reg. No. 47,593

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

Co	omplete if Known
Application Number	09/426,410
Filing Date	October 25, 1999
First Named Inventor	Eithan Y. Ephrati
Art Unit	3627
Examiner Name	Jennifer I. Harle
Attorney Docket Number	3660P011

			U.S. PATE	NT DOCUMENTS	
Examiner	<u></u>	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	Cite No.1	Number - Kind Code² (if known)	or Issue Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-5,615,109	03-25-1997	Eder	
		US-60/097,933	08-18-1998	Pallakoff	·
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵	
		WO 01/79961 A2	10-25-2001	Boyle et al.		Г	
		EP 1 077 422 A2	02-21-2001	Epstein et al.		Γ	
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (05-03)

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CIMICILICIN	DISCEUS	OUKE	Filing Date	October 25, 1999	
STATEMENT BY APPLICANT			First Named Inventor	Eithan Y. Ephrati	
		Art Unit	3627	6,410 per 25, 1999 n Y. Ephrati fer I. Harle	
			Examiner Name	Jennifer I. Harle	
2	of	2	Attorney Docket Number	3660P011	
	RMATION I	RMATION DISCLOS	EMENT BY APPLICANT	Application Number Filing Date First Named Inventor Art Unit Examiner Name	Application Number 09/426,410 Filing Date October 25, 1999 First Named Inventor Eithan Y. Ephrati Art Unit 3627 Examiner Name Jennifer I. Harle

		OTHER ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		SAIRAMESH, J. et al., "Economic Framework for Pricing and Charging in Digital Libraries," D-Lib Magazine, ISSN 1082-9873, February 1996		
		MARRINUCCI, Sandra, "Net Proves a Boon for Research," Electronic Engineering Times, September 22, 1997		
		BAILEY, Joseph P. and BAKOS, Yannis, "An Exploratory Study of the Emerging Role of Electronic Intermediaries," International Journal of Electronic Commerce, vol. 1, no. 3, Spring 1997, pp. 7-20		
		NEGROPONTE, Nicholas, "Psst! Transactions," Forbes, 80th Issue, July 7, 1997		
		FERRANTI, Marc, "Electronic Commerce: Ties That Bind," InfoWorld, vol. 9, no. 14, April 7, 1997, pp. 59-62	IVE	
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Examiner	Date
Signature	Considered
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